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Agenda item 151 (a)
Administrative and budgetary aspects of the financing of the United Nations peacekeeping operations: financing of the United Nations peacekeeping operations

Death and disability benefits

Note by the Secretary-General

1. The information contained in the annex to the present note is submitted pursuant to paragraphs 16 and 17 of General Assembly resolution 51/239 A of 17 June 1997, in which the Assembly noted that as at 19 May 1997 a backlog of 564 death and disability claims awaited processing and requested the Secretary-General to submit to the Assembly quarterly written reports on the progress made in clearing the backlog.

2. Commencing with the first quarterly report, dated 28 October 1997 (A/52/538), nine quarterly progress reports have been issued presenting information relating to death and disability incidents occurring up to and including 30 June 1997 and indicating the total number of claims from the backlog that had been received since 19 May 1997 as well as the number of claims processed as at 30 September 1999. The most recent update is contained in document A/C.5/54/13.

3. The present document constitutes the tenth quarterly report. The information presented in the annex indicates the total number of claims received from troop-contributing countries as at 31 December 1999, identifying the number of claims received since 19 May 1997. This information is presented by country (table A) and by peacekeeping operation (table B). A comparison of the total number of incidents of death and disability reported by peacekeeping operations as at 31 December 1999 and the related number of claims submitted to the Secretariat is given in table C.

4. In the ninth quarterly report (A/C.5/54/13) it was indicated that a total of 317 claims were awaiting processing as at 30 September 1999. As at 31 December, the total number of claims awaiting processing had been reduced by 54, to 263. This is the result of 10 new claims having been received and 64 claims having been processed during the period from 1 October to 31 December 1999. Of that total, 19 claims represent part of the backlog, as they had been received prior to 19 May 1997. The remaining 45 were received in the period following 19 May 1997.

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5. Of the original backlog of 564 death and disability claims that were awaiting processing as at 19 May 1997, only 19 such cases remain, consisting of 9 cases for Austria, 9 for Bangladesh and 1 for the Russian Federation. Of the nine cases pending in respect of Austria, at the time of the drafting of the present note one had just been certified for settlement, three were awaiting further clarification and additional justifications were required for the remaining five. With regard to Bangladesh, all nine cases pending had just been certified for settlement. Further justification was also pending for the one Russian claim.

6. In view of the fact that the original 564 backlog cases for which the General Assembly requested quarterly progress reports will be eliminated shortly, it is recommended that the present progress report be the last one submitted pursuant to paragraphs 16 and 17 of General Assembly resolution 51/239 A.

Annex

Death and disability claims received from troop-contributing countries as at 31 December 1999

A. Backlog report for incidents occurring up to and including 30 June 1997, by country

Country	*Claims received prior to 19 May 1997*	*Claims received after 19 May 1997*	*Claims processed 19 May 1997 to 31 December 1999[a]*	*Total claims awaiting processing*
Argentina	9	3	12	-
Austria	35	16	40	11
Bangladesh	27	2	19	10
Belgium	-	48	48	-
Brazil	-	3	1	2
Bulgaria	41	19	60	-
Canada	12	100	76	36
Czech Republic	7	-	7	-
Denmark	-	24	15	9
Egypt	42	7	49	-
Fiji	26	-	26	-
Finland	1	84	85	-
France	107	246	351	2
Germany	3	-	3	-
India	-	1	1	-
Indonesia	8	-	8	-
Ireland	37	33	70	-
Italy	56	13	69	-
Japan	-	1	1	-
Jordan	3	268	260	11
Malawi	-	6	6	-
Malaysia	-	36	18	18
Morocco	-	82	-	82
Nepal	-	14	14	-
Netherlands	-	11	11	-
Pakistan	1	7	8	-
Poland	64	159	204	19
Russian Federation	11	10	20	1
Senegal	1	1	2	-
Slovakia	6	1	7	-
Spain	10	2	12	-
Thailand	2	1	3	-
Tunisia	2	7	9	-
Turkey	3	-	3	-
Ukraine	9	44	50	3
United Arab Emirates	-	3	3	-

Country	*Claims received prior to 19 May 1997*	*Claims received after 19 May 1997*	*Claims processed 19 May 1997 to 31 December 1999*[a]	*Total claims awaiting processing*
United Kingdom of Great Britain and Northern Ireland	23	18	29	12
Uruguay	2	3	5	-
Zambia	-	55	8	47
Zimbabwe	16	-	16	-
Total	**564**	**1 328**	**1 629**	**263**

[a] The number of claims processed includes claims that have been approved for payment and those that have been rejected.

B. Backlog report for incidents occurring up to and including 30 June 1997, by peacekeeping operation

Mission	*Claims received prior to 19 May 1997*	*Claims received after 19 May 1997*	*Claims processed from 19 May 1997 to 31 December 1999*[a]	*Total claims awaiting processing*
ONUMOZ	4	28	10	22
UNAMIR	3	38	34	7
UNAVEM	-	31	6	25
UNCRO	-	3	2	1
UNDOF	30	60	73	17
UNFICYP	9	4	10	3
UNIFIL	140	177	314	3
UNIKOM	2	7	7	2
UNMIH	2	10	5	7
UNOSOM	104	144	150	98
UNPREDEP	-	17	17	-
UNPROFOR	178	683	803	58
UNSMIH	-	10	2	8
UNTAC	89	61	145	5
UNTAES	-	54	47	7
UNTAG	3	1	4	-
Total	**564**	**1 328**	**1 629**	**263**

[a] The number of claims processed includes claims that have been approved for payment and those that have been rejected.

C. Total number of death and disability incidents reported and claims submitted for incidents occurring up to and including 30 June 1997, by peacekeeping operation

	Death		*Disability*		*Total*	
Mission	*Incidents reported*	*Claims*	*Incidents reported*	*Claims*	*Incidents reported*	*Claims*
ONUMOZ	21	15	50	25	71	40
MINURSO	3	-	5	-	8	-
UNAMIR	22	20	50	25	72	45
UNAVEM	27	13	56	23	83	36
UNCRO	16	-	54	3	70	3
UNDOF	38	8	415	135	453	143
UNFICYP	162	3	87	17	249	20
UNIFIL	212	57	1 058	352	1 270	409
UNIKOM	7	5	28	3	35	8
UNMIH	5	4	14	12	19	16
UNOSOM	152	114	437	280	589	394
UNPREDEP	3	3	9	14	12	17
UNPROFOR	196	149	1 501	744	1 697	893
UNSMIH	-	-	7	10	7	10
UNTAC	41	50	52	132	93	182
UNTAES	7	1	57	53	64	54
UNTAG	11	3	30	1	41	4
Total	**923**	**445**	**3 910**	**1 829**	**4 833**	**2 274**

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Proposed budgetary requirements of each peacekeeping operation for the period from 1 July 1999 to 30 June 2000

Note by the Secretary-General

1. Pursuant to section I, paragraph 8, of General Assembly resolution 49/233 A of 23 December 1994, information on the initial estimated budgetary requirements of all current peacekeeping operations during the 12-month period from 1 July 1999 to 30 June 2000 ($644,199,100) was provided in the note by the Secretary-General of 7 May 1999 (A/C.5/53/60).

2. The present note updates the budgetary information contained in that note and reflects the appropriations, as well as commitment authorities with assessments, provided by the General Assembly up to the conclusion of the main part of its fifty-fourth regular session on 23 December 1999 for all current peacekeeping operations for the period from 1 July 1999 to 30 June 2000, inclusive of requirements for the support account for peacekeeping operations and the United Nations Logistics Base at Brindisi. As can be seen from the table (annex), the total amounts provided by the General Assembly thus far amount to some $1.4 billion gross.

3. The updated information does not include commitment authorities granted by the Advisory Committee on Administrative and Budgetary Questions pursuant to section IV of General Assembly resolution 49/233 A, as follows:

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Mission/other	*Commitment authority United States dollars (gross)*	*Purpose*
MINURCA	6 701 900	In connection with the conduct of presidential elections.
MONUA	5 274 800	Retention of mission personnel in connection with the delay in the establishment of the United Nations Political Office in Angola and delayed liquidation of the mission.
MONUC	41 011 200	Start up and most immediate requirements of mission from inception to 1 March 2000, including advance procurement for prospective deployment of military observers.
MINURSO	3 662 600	Resumption of the identification and appeals process.
MIPONUH	2 201 300	Final extension of the mandate to 15 March 2000 and liquidation thereafter.

4. Additional appropriations and assessments, inclusive of the commitment authorities granted by the Advisory Committee, will be sought from the General Assembly at its resumed fifty-fourth session for three of the peacekeeping missions listed in paragraph 3 above, namely, the United Nations Mission in the Central African Republic (MINURCA), the United Nations Observer Mission in Angola (MONUA), and the United Nations Organization Mission in the Democratic Republic of the Congo (MONUC). With regard to MONUC, should the Security Council in the near future authorize deployment of contingents, the budgetary requirements would be substantially more than the current amount of the commitment authority granted by the Advisory Committee. However, the related financial implications for MONUC were not available at the time the present note was prepared.

5. At this stage it is not foreseen that additional budgetary requirements will be submitted in connection with the remaining commitment authorities referred to in paragraph 3 above, i.e., for the United Nations Mission for the Referendum in Western Sahara (MINURSO) and the United Nations Civilian Police Mission in Haiti (MIPONUH). Rather, any actual additional requirements would be reported and sought in the context of the performance reports for those two missions for the financial period ending 30 June 2000, to be submitted to the General Assembly at its resumed fifty-fifth session in 2001.

6. With regard to the United Nations Transitional Administration in East Timor (UNTAET), as shown in the table in the annex, the General Assembly has provided commitment authority with assessment of $200 million pending submission by the Secretary-General, at its resumed fifty-fourth session, of the detailed budget for the Mission for the full period up to 30 June 2000. At the time of preparation of this note, it is projected that the full budgetary requirements for UNTAET, inclusive of the commitment authority with assessment already provided, could be similar in magnitude to that for the United Nations Interim Administration Mission in Kosovo (UNMIK), i.e., between $400 million and $500 million.

7. It is pointed out that, should the Security Council approve yet further expansion of the United Nations Mission in Sierra Leone (UNAMSIL) as recommended by the Secretary-General in his latest report to the Council (S/2000/13 of 11 January 2000), it will also be necessary to submit revised budgetary requirements for the Mission to the General Assembly at its resumed fifty-fourth session. The related financial implications are projected to be in the order of $110 million.

8. On that basis, should the Secretary-General submit all the additional budgetary requirements for the missions referred to in paragraphs 4, 6 and 7 above, the total budget level the General Assembly could approve, for all peacekeeping operations for the financial period 1 July 1999 to 30 June 2000 at the conclusion of its resumed fifty-fourth session could be between $1.8 and $2 billion.

9. By its resolution 54/243 of 23 December 1999 the General Assembly also authorized the Secretary-General to enter into commitments in the amount of $3,501,600 for the support account for peacekeeping operations in connection with its approval of 67 additional support account-funded posts. As indicated in the report of the Secretary-General (A/54/648), the actual additional requirements will be reported to the General Assembly in the context of the financial performance report for the support account for the 12-month period ending 30 June 2000, to be submitted to the Assembly at its resumed fifty-fifth session early in 2001.

10. In accordance with section I of resolution 49/233 A, consolidated information on the proposed budgetary requirements for peacekeeping operations for the period from 1 July 2000 to 30 June 2001 will be submitted to the General Assembly in May 2000.

Annex

Estimated budgetary requirements for peacekeeping operations for the period from 1 July 1999 to 30 June 2000

(Thousands of United States dollars)

Expenditure by category	*UNOMIG* (1)	*UNPREDEP* (2)	*UNMIBH* (3)	*MIPONUH* (4)	*UNMIK* (5)	*UNFICYP* (6)	*UNDOF* (7)	*UNIFIL* (8)	*UNIKOM* (9)	*UNMOT* (10)	*UNTAET* (11)	*MINURSO* (12)	*MONUA* (13)	*MINURCA* (14)	*UNOMSIL UNAMSIL* (15)	*Total* (16)
1. Military personnel costs	5 220.1	–	1 224.0	75.9	2 696.2	22 978.1	18 781.5	79 242.0	24 673.2	1 743.3	59 487.9	7 617.8	1 391.4	14 785.7	112 414.3	352 331.4
2. Civilian personnel costs	12 526.3	155.2	130 799.0	11 518.0	216 543.4	10 106.5	5 010.3	26 051.1	13 157.8	9 178.8	43 146.3	25 892.4	2 522.2	8 408.2	20 187.5	535 203.0
3. Operational requirements																
Premises/ accommodation	1 420.6	–	4 054.8	606.2	10 272.5	1 328.7	1 492.9	2 558.4	924.4	131.8	19 576.6	619.2	94.0	1 159.0	5 595.3	49 834.4
Infrastructure repairs	405.3	–	98.0	–	–	190.0	–	–	30.0	–	370.0	–	–	34.2	920.0	2 047.5
Transport operations	2 994.2	–	7 739.5	1 128.8	77 308.9	2 348.7	4 025.7	17 660.5	3 067.9	632.1	16 578.2	1 756.7	59.7	549.0	7 807.6	143 657.5
Air operations	2 990.2	–	1 507.1	45.6	11 376.3	1 213.8	–	1 208.9	3 651.4	2 295.2	4 915.9	6 959.3	832.0	1 675.7	16 938.2	55 609.6
Naval operations	–	–	–	27.3	–	–	–	–	91.4	–	–	–	–	0.9	–	119.6
Communications	822.0	–	5 088.9	701.1	46 558.5	851.6	625.3	2 043.6	900.9	1 721.8	22 898.5	857.7	154.0	332.8	7 658.8	91 215.5
Other equipment	348.8	–	3 624.4	133.3	31 601.9	535.1	1 103.0	2 817.6	730.6	199.6	15 875.3	802.0	–	172.2	5 016.1	62 959.9
Supplies and services	533.5	–	3 072.9	1 071.8	10 007.5	1 537.1	1 315.9	3 888.4	1 440.3	268.1	3 538.1	524.3	999.0	1 329.2	1 814.8	31 340.9
Air and surface freight	329.6	–	150.0	1 157.5	1 300.0	67.0	160.0	383.3	100.0	50.0	12 740.0	42.0	590.0	2 039.9	19 226.2	38 335.5
4. Other programmes	70.6	–	229.0	43.5	2 426.5	19.8	–	26.0	15.0	142.1	873.2	–	–	100.8	186.3	4 132.8
5. Staff assessment	1 495.2	17.4	10 012.4	1 023.4	16 970.1	1 738.5	732.9	4 029.4	1 994.9	1 233.0	–	3 951.6	357.7	795.2	2 234.9	46 586.4
6. Voluntary contributions in kind (budgeted)	–	–	–	1 714.2	585.5	–	–	135.0	–	–	–	–	–	784.4	–	3 219.1
Gross estimate	**29 156.4**	**172.6**	**167 600.0**	**19 246.4**	**427 647.3**	**42 914.9**	**33 247.5**	**140 044.2**	**50 777.8**	**17 595.8**	**200 000.0**	**49 023.0**	**7 000.0**	**32 167.2**	**200 000.0**	**1 416 593.1**

Expenditure by category	UNOMIG (1)	UNPREDEP (2)	UNMIBH (3)	MIPONUH (4)	UNMIK (5)	UNFICYP (6)	UNDOF (7)	UNIFIL (8)	UNIKOM (9)	UNMOT (10)	UNTAET (11)	MINURSO (12)	MONUA (13)	MINURCA (14)	UNOMSIL UNAMSIL (15)	Total (16)
7. Prorated provision for the United Nations Logistics Base at Brindisi	302.3	1.8	1 738.5	181.9	–	445.3	344.9	1 452.6	526.8	182.5	–	508.5	72.4	325.4	–	6 082.9[a]
8. Prorated provision for the support account	1 541.8	9.3	8 865.9	927.5	–	2 270.8	1 758.9	7 407.9	2 686.4	930.6	–	2 593.4	369.2	1 659.7	–	31 021.4[b]
Total	**31 000.5**	**183.7**	**178 204.4**	**20 355.8**	**427 647.3**	**45 631.0**	**35 351.3**	**148 904.7**	**53 991.0**	**18 708.9**	**200 000.0**	**52 124.9**	**7 441.5**	**34 152.3**	**200 000.0**	**1 453 697.3**

[a] After application of unencumbered balance of $1,373,551 for the period from 1 July 1997 to 30 June 1998.

[b] After application of unencumbered balance of $3,865,800 for the period from 1 July 1997 to 30 June 1998.